

Professional & Institutional Tariff Schedule as from June 2021

General Charges

Issue of original contract note	Free of Charge
Issue of client portfolio valuation	Free of charge (quarterly)
Issue of Dividend cheque/ coupon	EUR 5/ GBP 5/ USD 7.50
Withholding Tax Processing Fee	EUR 3/ GBP 3/ USD 4
Stop payment and re issue of bank cheques	EUR 20/ GBP 20/ USD 30
Cheques returned unpaid	EUR 125/ GBP 125/ USD 190
Interest chargeable on outstanding settlements	8% per annum of outstanding settlement
W8-BEN Forms	EUR 20
Pledging fee per holding	EUR 30
Release of Estate Charges	0.5% of the total assets with a minimum of EUR 150 per holding
Issue of Manual Tax Certificates	EUR 15/ GBP 15/ USD 22.50
Issue of payment (excluding dividend payments and release of estate)	EUR 15/ GBP 15/ USD 22.50 (Applicable to fund transfers of Eur 100 (and equivalent) or greater)
Issue of Direct Credit Payments (Dividends, Fund Distributions & Bond coupons)	EUR 2/ GBP 2/ USD 3
Pledging of Holdings per annum	€100 per holding
Withholding Tax Certificate: issued on request.	€50
ACCOUNT FEES:	
Opening Investment Account Fee (Nominee):	€3,000 (fee for administrative, compliance and 3 rd party application costs)
Annual Investment Account Maintenance Fee:	€2,000 (Maintenance fee for Ongoing Relationship Management, follow ups for compliance & regulatory)
Management of Portfolio Fee:	2% on portfolio asset value on first €1mm, reduction of 0.15% per €1mm up to €10mm thereafter.
Advisory Fee:	1.5% on value of assets under advisory management.
Structured Products Manufacturing	3% up to €10mm Note Issue (Minimum €150k). 1% on subsequent issue size
Structured Products Distribution	2%
Registrar and Calculation Agent	€2,000 per annum.

Trading on the Malta Stock Exchange:

Malta Government Securities Loan Stocks & other Bonds

Contract Value	Brokerage
Up To €1MM	0.25% Minimum EUR 100
On the excess over EUR 1MM	0.15%

Trading on the Malta Stock Exchange:

Stocks & Shares

Contract Value	Brokerage
Up To €1MM	0.50% Minimum EUR 100
On the excess over EUR 1MM	0.25%

International Stockbroking:

Security	Country	Fee Type	Fee	Minimum
Equities	All countries	-	0.90% of consideration	GBP 85/ €100/ \$125
Bonds	All countries	-	0.75% of consideration	GBP 85/ €100/ \$125
Equities & Bonds	All countries	Account handling fee	€ 50/ GBP 40/ \$75 per annum	
Equities	UK only	UK Government stamp duty on purchase	0.5% of consideration as applicable	
Equities	Ireland only	Government stamp duty on purchase	1.0% of consideration	
Equities	UK only	PTM Levy on purchases over GBP 10,000	GBP 1 Flat	
Equities	UK only	Residual Transaction (NON-CREST settlement)	GBP 25 Flat	
Equities, Bonds & Funds	All countries	Dematerialisation of stock certificate	GBP 50 Flat	
Equities, Bonds & Funds	All countries	Transfer fee to a third party per line of security	GBP 115/ €115/ \$170	
Equities, Bonds & Funds	All countries	Transfer in from third parties	Free of charge	

Commissions: The firm may receive commissions/ income from issuers of IPOs and other Financial Institutions.

Fees quoted are exclusive of VAT where applicable.

OTHER OUT OF POCKET FEES MAY APPLY & WILL BE COMMUNICATED IN ADVANCE SEPERATELY.

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